

# Canadian ShareOwner Investments Inc. ("the Firm") Investment Club Member Information Form

**ORDER EXECUTION ONLY ACCOUNT**

Website: [www.investments.shareowner.com](http://www.investments.shareowner.com)

Account # (to be assigned by the Firm)

## Account Owner/Annuitant Information

Mr.  Mrs.  Ms.  Miss  Dr. Social Insurance # \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 \_\_\_\_\_  
 First Name(s) Middle Name Last Name Citizenship  
 \_\_\_\_\_  
 Residential Home Address (attach separate sheet of paper if mailing address is different) City Province Postal Code  
 \_\_\_\_\_  
 Home Phone Number Day-time Phone Number E-mail Address  
 \_\_\_\_\_  
 Occupation Employer Type of Business  
 \_\_\_\_\_

## Club Ownership Information – Please complete one of A or B below:

A. Percentage Ownership in Club B. Number of Club Units Owned Total Number of Units Issued  
 \_\_\_\_\_

## Other Information – If yes to any question, please provide details below.

Are you, your spouse, or a member of your immediate family, employed by the Investment Industry Regulatory Organization of Canada (IIROC), a stock exchange or a securities firm that is a member of IIROC?  No  Yes

Do you, your spouse, or a member of your immediate family, either by yourselves or as part of a group, own 10% or more of the voting rights of a publicly-traded company?  No  Yes

Are you, your spouse, or a member of your immediate family, a director or senior officer of a publicly-traded company?  No  Yes

Details: \_\_\_\_\_

## Certification

I certify that the information provided in this application is true and complete and declare that the Firm may rely upon such information until it receives written notice of any changes.

Club Member Signature \_\_\_\_\_ Date (mm/dd/yy) \_\_\_\_\_  
 I.D. photocopy enclosed

## No Advice or Recommendations

I acknowledge that the Firm will not provide me with any legal, tax or accounting advice, investment advice or recommendations regarding the suitability or profitability of any security, transaction or investment. I further acknowledge that the Firm's employees are not authorized to give any such advice and agree that I will not solicit or rely upon any such advice from the Firm or any of its employees. I assume full responsibility for my investment decisions and all transactions in my account and agree that the Firm and its officers, directors, employees, agents and affiliates will have no liability for any such investment decisions or transactions. I acknowledge that the Firm will not consider my financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders.

Club Member Signature \_\_\_\_\_ Date (mm/dd/yy) \_\_\_\_\_

## FOR INTERNAL USE ONLY

Is this a Pro Account? Yes  No  Is IR/RR registered in the Province or Country in which the client resides? Yes  No   
 U.S. Withholding Rate 0%  15%  30%  United Nations Suppression of Terrorism check completed? Yes  No   
 Club Member Bank Name: \_\_\_\_\_ Institution# \_\_\_\_\_ Transit# \_\_\_\_\_ | Account# \_\_\_\_\_  
 R.R. Signature Date Compliance Department Signature Date