



## 5. No Advice or Recommendations

I acknowledge that CSII has not and will not provide me with any legal, tax or accounting advice, investment advice or recommendations regarding the suitability or profitability of any security, transaction or investment. I further acknowledge that CSII's employees are not authorized to give any such advice and I agree that I will not solicit or rely upon any such advice from CSII or any of its employees. I assume full responsibility for my investment decisions and all transactions in my account and agree that CSII and its officers, directors, employees, agents and affiliates will have no liability for any such investment decisions or transactions. I acknowledge that CSII will not consider my financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders.

Required  
Signature(s)

\_\_\_\_\_  
Account Owner/Annuitant Signature        D  D  M  M  M  M  Y  Y  Y  Y        Date      \_\_\_\_\_  
Co-Owner Signature (if applicable)        D  D  M  M  M  M  Y  Y  Y  Y        Date

## 6. Customer Agreement

I certify that the information provided in this application is true and complete and declare that CSII may rely upon such information until it receives written notice of any changes. I acknowledge that my account will be governed by an account agreement comprising the Client Account Agreement, Co-Operative Investing Service ("Pooled Trading") Account Agreement, Consent to Electronic Delivery Agreement, On-line Brokerage Services Agreement, Joint Account Agreement (for joint accounts only) and Informal Trust or Nominee Account Agreement (for in-trust accounts only) contained in the booklet entitled Account Agreements and Shareholder Communications and the Declaration of Trust (for RRSP accounts only) that CSII will send to me upon its acceptance of my application. I agree that the first transaction in my account will be considered to be my acceptance of the terms thereof.

**Leverage Disclosure** Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.

Required  
Signature(s)

\_\_\_\_\_  
Account Owner/Annuitant Signature        D  D  M  M  M  M  Y  Y  Y  Y        Date      \_\_\_\_\_  
Co-Owner Signature (if applicable)        D  D  M  M  M  M  Y  Y  Y  Y        Date  
▶ Please attach the required identity documents (below)      ▶ Please attach the required identity documents (below)  
▶ Please complete Schedule A (below)      ▶ Please complete Schedule A (below)

## 7. Identity Documents Required by Law and Regulations

**A Cheque – for Signature Verification.** Each Applicant must attach a personal cheque bearing his/her signature and payable to "Canadian ShareOwner Investments Inc." The cheque(s) can be for any amount and will be deposited to the new account at CSII.

**Photo Identification** – In General dividends from U.S. corporations will be withheld at the reduced rate of withholding tax for each Applicant who attaches complete legible copies of both: (1) the photo section; and (2) the signature section of one of the following pieces of identification.  
 a passport     a driver's licence

Required  
Documents

### FOR INTERNAL USE ONLY

Is this a Pro Account?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Is IR/RR registered in the Province or Country in which the client resides?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
U.S. Withholding Rate	0% <input type="checkbox"/>	15% <input type="checkbox"/>	30% <input type="checkbox"/>	United Nations Suppression of Terrorism check completed?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Account Owner	Bank Name: _____		Institution# <u>  </u> <u>  </u> <u>  </u>	Transit# <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u>	Account# _____	
Co-Account Owner	Bank Name: _____		Institution# <u>  </u> <u>  </u> <u>  </u>	Transit# <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u>	Account# _____	
R.R. Signature	Date	Compliance Department Signature	Date	v120806		

## Schedule A – Co-Account Owner

### 1. Co-Account Owner Information – (Complete this Schedule only if you are opening a Non-Registered Joint Account)

Mr.  Mrs.  Ms.  Miss  Dr.      Social Insurance #                               Date of Birth   D  D  M  M  M  M  Y  Y  Y  Y  

↻  
 \_\_\_\_\_  
 First Name(s)      Middle Name      Last Name      Citizenship

↻  
 \_\_\_\_\_  
 Residential Home Address (attach separate sheet of paper if mailing address is different)      City      Province      Postal Code

↻  
 \_\_\_\_\_  
 Home Phone Number      Day-time Phone Number      E-mail Address

↻  
 \_\_\_\_\_  
 Occupation      Employer      Type of Business

### 2. Other Information – (If yes to any question, please provide details)

Are you, your spouse, common law partner, or a member of your immediate family:

No  Yes 1) employed by the Investment Dealers Association (IDA), a stock exchange or a securities firm that is a member of the IDA?

No  Yes 2) a director or senior officer of a publicly traded company?

No  Yes 3) either by yourselves or as part of a group, own 10% or more of the voting rights of a publicly traded company?

No  Yes Other than the Account Owner and Co-Owner (if applicable) will another person have a financial interest in this account? (If yes, please complete and submit the Third Party Determination Statement available at CSII's Website.

No  Yes Other than the Account Owner and Co-Owner (if applicable) will another person have trading authorization in this account? (If yes, please complete and submit Schedule C - Trading Authorization Form available at CSII's Website.